

# Heegner Points

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**Talk at the number theory study group.**

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# Goals of the talk

There are two goals of this talk:

- Introduce the definition and properties of Heegner points.
- Explain their applications.

# Definition and properties of Heegner points

# Modular curves

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$$\gamma \cdot z = \frac{az + b}{cz + d},$$

where  $\gamma = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}_2(\mathbb{Z})$  and  $z \in \mathbb{H}$ .

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## Theorem (Uniformization theorem)

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## Theorem (Uniformization theorem)

*We have that  $SL_2(\mathbb{Z}) \backslash \mathbb{H}$  can be equipped with the structure of a Riemann surface and*

$$j : SL_2(\mathbb{Z}) \backslash \mathbb{H} \rightarrow \mathbb{C}, \quad [\tau] \mapsto j(\tau)$$

*is an isomorphism of Riemann surfaces.*

# Congruence subgroups of $SL_2(\mathbb{Z})$

## Definition

For  $N \in \mathbb{N}_{\geq 1}$ , we define

$$\Gamma(N) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in SL_2(\mathbb{Z}) : \begin{pmatrix} a & b \\ c & d \end{pmatrix} \equiv \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} \pmod{N} \right\}.$$



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Fact:  $Y(\Gamma)$  can be equipped with the structure of a Riemann surface.

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Fact:  $X(\Gamma) := \Gamma \backslash \mathbb{H}^*$  can be equipped with the structure of a compact Riemann surface.

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## Example

If  $\Gamma = \mathrm{SL}_2(\mathbb{Z})$ , then  $X(\Gamma)$  is isomorphic to the Riemann sphere.

# The modular curves $Y_0(N)$ and $X_0(N)$

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Important fact:  $X_0(N)$  can be defined as an algebraic curve over  $\mathbb{Q}$  and under this definition,  $Y_0(N)$  is an open subvariety of  $X_0(N)$ .

# Moduli interpretation of $Y_0(N)$

We have that  $Y_0(N)(\mathbb{C})$  is in bijection with

$\{\phi : E \rightarrow E' \mid E, E' \text{ e.c. over } \mathbb{C} \ \& \ \ker(\phi) \text{ cyclic subgroup of order } N\} / \cong$

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If  $K$  is a number field, then  $Y_0(N)(K)$  is in bijection with

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**The modular curve  $Y_0(N)$  parameterizes isogenies  $E \rightarrow E'$  with cyclic kernel of order  $N$ .**

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## Theorem (Complex multiplication)

Let  $\mathcal{O} \subseteq K$  be an order. The map

$$\mathrm{Cl}(\mathcal{O}) \rightarrow \{\text{elliptic curves with CM by } \mathcal{O}\}$$

given by  $[\mathfrak{a}] \mapsto \mathbb{C}/\mathfrak{a}$  is a bijection.

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## Proposition

The set of Heegner points is non-empty if and only if there exists an order  $\mathcal{O}$  and an ideal  $\mathcal{N} \subseteq \mathcal{O}$  such that  $\mathcal{O}/\mathcal{N} \cong \mathbb{Z}/N\mathbb{Z}$ .

# Proof of the proposition

## Proof

“  $\implies$  ” Suppose we have a Heegner point  $x_K = (\phi : E \rightarrow E')$  with  $\ker \phi$  cyclic and  $E, E'$  have CM by  $\mathcal{O}$ .

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$$E = \mathbb{C}/\mathfrak{a}, \quad E' = \mathbb{C}/\mathfrak{b}$$

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Thus if we set  $\mathcal{N} = \alpha\mathfrak{a}\mathfrak{b}^{-1}$ , then

$$\mathcal{O}/\mathcal{N} = (\mathfrak{b}\mathfrak{b}^{-1})/\alpha\mathfrak{a}\mathfrak{b}^{-1} \cong \mathfrak{b}/\alpha\mathfrak{a} \cong (\alpha^{-1}\mathfrak{b})/\mathfrak{a} \cong \mathbb{Z}/N\mathbb{Z}.$$

# Proof of the proposition (contd.)

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The kernel of this isogeny is

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# The Heegner hypothesis

## Proposition

Suppose that every prime  $p$  dividing  $N$  splits in  $K$ . Then there exists an ideal  $\mathcal{N}$  of  $\mathcal{O}_K$  such that  $\mathcal{O}_K/\mathcal{N} \cong \mathbb{Z}/N\mathbb{Z}$ .

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## Proof.

Write  $N = p_1^{e_1} \cdots p_r^{e_r}$  and suppose  $p_1\mathcal{O}_K = \mathfrak{p}_{11}\mathfrak{p}_{12}, \dots, p_r\mathcal{O}_K = \mathfrak{p}_{r1}\mathfrak{p}_{r2}$ .

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and since each  $\mathfrak{p}_{i1}$  is unramified, one can check that

$$\mathcal{O}_K/\mathfrak{p}_{11}^{e_1} \cong \mathbb{Z}/p_1^{e_1}\mathbb{Z}, \dots, \mathcal{O}_K/\mathfrak{p}_{r1}^{e_r} \cong \mathbb{Z}/p_r^{e_r}\mathbb{Z}.$$

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Set  $\mathcal{N} = \mathfrak{p}_{11}^{e_1} \cdots \mathfrak{p}_{r1}^{e_r}$ . Then

$$\mathcal{O}_K/\mathcal{N} \cong \mathcal{O}_K/\mathfrak{p}_{11}^{e_1} \times \cdots \times \mathcal{O}_K/\mathfrak{p}_{r1}^{e_r} \cong \mathbb{Z}/p_1^{e_1}\mathbb{Z} \times \cdots \times \mathbb{Z}/p_r^{e_r}\mathbb{Z} \cong \mathbb{Z}/N\mathbb{Z}.$$

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- For each such  $q$ ,  $\left(\frac{-q}{p}\right) = \left(\frac{-1}{p}\right) \left(\frac{q}{p}\right) = 1$ .

# Heegner hypothesis

## Theorem

*For a given  $N$ , there are infinitely many imaginary quadratic fields satisfying the Heegner hypothesis.*

## Proof.

- For simplicity, we assume that  $N = p$  and  $p \equiv 1 \pmod{4}$ .
- By Dirichlet's theorem on primes in arithmetic progressions, there are infinitely many primes  $q$  such that  $q \equiv 1 \pmod{p}$ .
- For each such  $q$ ,  $\left(\frac{q}{p}\right) = 1$ .
- For each such  $q$ ,  $\left(\frac{-q}{p}\right) = \left(\frac{-1}{p}\right) \left(\frac{q}{p}\right) = 1$ .
- For each such  $q$ ,  $p$  splits completely in  $\mathbb{Q}(\sqrt{-q})$ .



# Heegner points of conductor $n$

- Fix an imaginary quadratic field  $K$  with discriminant  $D$  satisfying the Heegner hypothesis. Let  $\mathcal{N}$  be an ideal such that  $\mathcal{O}_K/\mathcal{N} \cong \mathbb{Z}/N\mathbb{Z}$ .

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- Every order  $\mathcal{O}$  is of the form  $\mathcal{O} = \mathbb{Z} + n\mathcal{O}_K$  for some  $n \geq 1$ . Here  $n$  is called the conductor of  $\mathcal{O}$  and we denote  $\mathcal{O}$  by  $\mathcal{O}_n$ .

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- Let  $\mathcal{N}_n := \mathcal{N} \cap \mathcal{O}_n$ . For each  $n$  relatively prime to  $DN$ , one can check that  $\mathcal{O}/\mathcal{N}_n \cong \mathbb{Z}/N\mathbb{Z}$ .

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## Definition

For each  $n$  relatively prime to  $ND$ , the Heegner point of conductor  $n$  is defined to be

$$x_n := [\mathbb{C}/\mathcal{O}_n \rightarrow \mathbb{C}/\mathcal{N}_n^{-1}].$$

# Heegner points lie in ring class fields

## Proposition

The point  $x_n \in X_0(N)(\mathbb{C})$  lies in  $X_0(N)(H_n)$ , where  $H_n$  is the ring class field of  $\mathcal{O}_n$ .



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## Proof.

By CM theory,  $\mathbb{C}/\mathcal{O}_n$  is defined over  $H_n$  and so by the moduli interpretation,  $x_n \in Y_0(N)(H_n) \subseteq X_0(N)(H_n)$ . □

# A reciprocity law

Note that  $\text{Gal}(H_n/K)$  acts on  $X_0(N)(H_n)$ . What is this action on Heegner points?

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## Theorem (Class field theory)

The map

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$$\sigma(x_n) = [\mathbb{C}/\mathfrak{a}_{\sigma}^{-1} \rightarrow \mathbb{C}/\mathfrak{a}_{\sigma}^{-1}\mathcal{N}_n^{-1}]$$

as elements of  $X_0(N)(H_n)$ .

# Hecke correspondence

We let  $\text{Div } X_0(N)(H_n)$  to be the group of divisors which are stable under the action of  $\text{Gal}(\bar{K}/H_n)$ .

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by sending

$$[\phi : E \rightarrow E'] \mapsto \sum_{C \subseteq E[p], |C|=p} (E/C \rightarrow E'/\phi(C)).$$

and extending linearly.



# Action of Hecke correspondence on Heegner points

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Let  $n$  be a positive integer relatively prime to  $ND$  and let  $p$  be a prime not dividing  $nND$ . Define a trace map

$$\mathrm{Tr}_n : X_0(N)(H_{np}) \rightarrow X_0(N)(H_n), \quad z \mapsto \sum_{\sigma \in \mathrm{Gal}(H_{np}/H_n)} \sigma(z)$$

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## Theorem

*We have that  $\mathrm{Tr}_n(x_{np}) = T_p(x_n)$ .*

# Definition of Heegner points on elliptic curves

## Theorem (Shimura-Taniyama conjecture/Modularity theorem)

*Let  $E$  be an elliptic curve defined over  $\mathbb{Q}$  with conductor  $N$ . Then there exists a non-zero morphism*

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## Definition

Let  $E$  be as above and let  $K$  be an imaginary quadratic field satisfying the Heegner hypothesis. Fix a modular parameterization  $\varphi$  as above. The Heegner point of conductor  $n$  is defined to be

$$y_n := \varphi(x_n).$$

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We know that  $x_n$  lies in  $X_0(N)(H_n)$  and since  $\varphi$  is a morphism of algebraic curves defined over  $\mathbb{Q}$ , we conclude that  $y_n$  lies in  $E(H_n)$ .  $\square$

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**Our work so far gives a systematic supply of points on elliptic curves defined over algebraic number fields.**



# Trace map on Heegner points

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$$y_K := \mathrm{tr}_{H_1/K}(y_1) = \sum_{\sigma \in \mathrm{Gal}(H_1/K)} \sigma(y_1) \in E(K)$$

and call it the Basic Heegner point.

# Heegner points can be explicitly computed

## Example

Let  $E : y^2 = x^3 + 4x$  which has conductor 32. Let  $K = \mathbb{Q}(\sqrt{-7})$ . Then  $H_1 = K$  and we can compute

$$y_K = \left( \frac{\sqrt{-7} - 1}{2}, \frac{\sqrt{-7} + 3}{2} \right) \in E(K).$$

# Applications

## Theorem (Mordell-Weil Theorem)

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*for some integer  $r \geq 0$ .*

The integer  $r$  is often called the algebraic rank of  $E$  and will be denoted by  $r_{\text{al}}(E)$ .

# Height of a point on an elliptic curve

Let  $E$  be an elliptic curve defined over a number field  $K$ .

## Definition (Naive height)

For  $P \in E(K)$ , define its naive height to be

$$h(P) = \frac{1}{[K : \mathbb{Q}]} \sum_{v \in M_K} [K_v : \mathbb{Q}_v] \log \max\{|x|_v, |y|_v, |z|_v\},$$

where  $M_v$  is the set of (appropriately normalized) absolute values on  $K$ .

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The definition of the naive height depends on the choice of the Weierstrass equation for  $E$ .



# Neron-Tate height

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## Definition (Neron-Tate height pairing)

Define a pairing

$$\langle \cdot, \cdot \rangle : E(K) \times E(K) \rightarrow \mathbb{R}_{\geq 0}, \quad \langle P, Q \rangle = \frac{1}{2}(\hat{h}(P + Q) - \hat{h}(P) - \hat{h}(Q)).$$

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Important fact:  $\langle P, P \rangle = 0$  if and only if  $P$  is a torsion point.

# The $L$ -function of an elliptic curve

## Definition

Let  $E$  be an elliptic curve defined over a number field  $K$ . We define its  $L$ -function to be

$$L(E, s) = \prod_{\mathfrak{p}:\text{good}} (1 - a_{\mathfrak{p}}N(\mathfrak{p})^{-s} + N(\mathfrak{p})^{1-2s}) \prod_{\mathfrak{p}:\text{bad}} (1 - a_{\mathfrak{p}}N(\mathfrak{p})^{-s}),$$

where  $a_{\mathfrak{p}} = \rho + 1 - \#E(\mathcal{O}_K/\mathfrak{p})$  if  $\mathfrak{p}$  has good reduction and  $a_{\mathfrak{p}} \in \{-1, 0, 1\}$  otherwise.

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$$L(E, s) = c(s-1)^{r_{\text{an}}} + \dots$$



# The Birch and Swinnerton-Dyer conjecture

## The BSD conjecture

Let  $E$  be an elliptic curve defined over a number field  $K$ . Then

$$r_{\text{al}}(E) = r_{\text{an}}(E).$$

# The Gross-Zagier formula

## Theorem

*Let  $E$  be an elliptic curve over  $\mathbb{Q}$  and let  $K$  be an imaginary quadratic field which satisfies the Heegner hypothesis. Let  $E_K$  denote the elliptic curve  $E$  over  $K$ .*

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$$L'(E_K, 1) = c_{E,K} \cdot \langle y_K, y_K \rangle$$

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# The Gross-Zagier formula

## Theorem

Let  $E$  be an elliptic curve over  $\mathbb{Q}$  and let  $K$  be an imaginary quadratic field which satisfies the Heegner hypothesis. Let  $E_K$  denote the elliptic curve  $E$  over  $K$ . Then we have

$$L'(E_K, 1) = c_{E,K} \cdot \langle y_K, y_K \rangle$$

where  $c_{E,K} \in \mathbb{C}$  is some constant depending on  $E$  and  $K$ .

This formula has the shape:

$$\boxed{\text{Derivative of } L\text{-function}} = \boxed{\text{Height of Heegner point}}$$

## Corollary

We have that  $r_{an}(E_K) = 1 \implies r_{al}(E_K) \geq 1$ .

## Proof.

$r_{an}(E_K) = 1 \implies L'(E_K, 1) \neq 0 \implies \langle y_K, y_K \rangle \neq 0 \implies y_K$  non-torsion point

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## Theorem (Kolyvagin)

*Let  $E$  be an elliptic curve over  $\mathbb{Q}$  and let  $K$  be an imaginary quadratic field which satisfies the Heegner hypothesis. If  $y_K$  has infinite order in  $E(K)$ , then  $r_{al}(E_K) = 1$ .*

# Remarks on the Gross-Zagier formula

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Thus, Gross-Zagier+Kolyvagin give:

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It is possible to use “descent” to prove the following:

$$r_{\text{an}}(E) = 1 \implies r_{\text{al}}(E) = 1.$$

Thank You!